

HANDOUTS
accompanying Interim Report delivered to
Executive Vice President for Academic Affairs Dick Edwards
March 20, 2015

Rutgers OA Policy Implementation Timeline
Rutgers OA Policy Factsheet
Proposed Email to Deans

TIMELINE

Rutgers Open Access Policy Implementation



- Senate passes Open Access Policy Resolution (October 19, 2012)
- President Barchi signs off (February 19, 2013)
- Dr. Barchi asks Dr. Edwards (Executive Vice President for Academic Affairs) to assign the work of developing an implementation plan
- Dr. Edwards asks Ken Breslauer (then Interim Vice President for Research and Economic Development) and Marianne Gaunt to utilize an existing committee for the implementation work.
- The Rutgers University Libraries Advisory Committee (RULAC) accepts the charge and assigns the work to the Open Access Policy Implementation Working Group, a subset of RULAC members, plus two graduate students; Laura Mullen and Jane Otto are appointed co-chairs.
- RUL Cabinet establishes as a very high priority the RUcore development work required for the Open Access Policy Implementation, with work to be completed by Spring 2015, including user testing along the way of the interface and process.
- Oct., 2014, the Rutgers Open Access Policy enters the Rutgers Policy Library
- Jan. 5, 2015, SOAR (Scholarly Open Access at Rutgers, soar.rutgers.edu) website goes live following months of RUcore enhancements and web design.
- Currently, the Rutgers Open Access Policy Implementation Working Group is moving to an outreach and education phase and will be contacting departments and schools. Presentation schedule will be picking up.
- Policy goes into effect Sept. 1, 2015. Final report of the Working Group will be delivered to the SVPA. New advisory board will need to have been formed.

The Rutgers Open Access Policy and SOAR (Scholarly Open Access at Rutgers, soar.rutgers.edu)

The Rutgers University Senate, on October 19, 2012, overwhelmingly passed a resolution to

“establish, in consultation with University Counsel, a University-wide Open Access policy wherein Rutgers faculty and scholars, including graduate students and postdoctoral researchers, shall provide open access to all works that are produced without expectation of payment, subject to waivers and embargoes upon request by an individual author for a specific work. ...”

In practice, this means

**Rutgers authors deposit legal copies of scholarly articles into SOAR (soar.rutgers.edu)
at the time of the article’s final acceptance for publication, at no cost to them,
making scholarship freely accessible to readers and researchers worldwide on the Internet.
SOAR is a service of RUcore, the University’s institutional repository.**

**Open Access at Rutgers showcases University scholarship in one place,
preserves access over time, allows authors to retain rights to their works,
and ensures greater visibility and impact for Rutgers research worldwide.**

*∞ Implementation planning by a University-wide team is currently underway ∞
The Policy goes into effect September 1, 2015*

OPEN ACCESS AND THE RUTGERS MISSION

Responsibility of a public research university
Greater visibility for Rutgers scholarship
Facilitates Rutgers strategic goals of greater international impact and collaboration
Builds on earlier Rutgers OA initiatives, including Electronic Theses & Dissertations
Responds to NIH and other outside funder mandates
Aligns Rutgers with AAU colleague institutions

OPEN ACCESS POLICY AT RUTGERS

- Found in Rutgers Policy Library
- For all Rutgers faculty, doctoral students, & postdocs
- Scholarly articles (as publisher allows)
- Prospectively applied from September 1, 2015
- Accommodates disciplinary differences
- Embargoes, opt-outs and waivers for any given article
- Rutgers and authors retain some rights
- Focus on public access for readers/researchers

SOAR (Scholarly Open Access at Rutgers)

- Visit soar.rutgers.edu
- Crawled by Google
- Disseminates Rutgers research sooner
- Demonstrates impact through usage statistics
- Provides permanent links (DOIs) authors can use
- Underlying data can link with publication itself
- Simple and easy for authors
- A service of RUcore, the institutional repository

Check your journal or publisher’s policies at SHERPA/RoMEO: www.sherpa.ac.uk/romeo

SELECTED OPEN ACCESS POLICY INSTITUTIONS & THEIR REPOSITORIES

Duke University (DukeSpace)	dukespace.lib.duke.edu/dspace
Emory University (OpenEmory)	open.library.emory.edu
Harvard University (DASH)	dash.harvard.edu
Mass. Institute of Technology (DSpace@MIT)	dspace.mit.edu
Princeton University	
Rice University (Digital Scholarship Archive)	scholarship.rice.edu
Stanford University School of Education (Stanford Digital Repository)	library.stanford.edu/research/stanford-digital-repository
University of California (entire system) (eScholarship)	escholarship.org
University of Kansas (KUScholarWorks)	kuscholarworks.ku.edu

For the text of individual Open Access policies, visit ROARMAP: roarmap.eprints.org

RUTGERS UNIVERSITY OPEN ACCESS POLICY IMPLEMENTATION WORKING GROUP

Cati Coe, Professor, Department of Sociology, Anthropology, and Criminal Justice
Daniel Fishman, Professor, Graduate School of Applied and Professional Psychology
Susan L. Golbeck, Professor, Graduate School of Education
Marie C. Haverfield, Graduate student, School of Communication and Information
Laura Bowering Mullen (Co-Chair), Librarian, Rutgers University Libraries
Andrew Norris, Professor, Mechanical and Aerospace Engineering
Jane Otto (Co-Chair), Librarian, Rutgers University Libraries
John Ottomanelli, Alumnus representative, University Senate

THE ORIGINAL OPEN ACCESS SUBCOMMITTEE OF THE RUTGERS UNIVERSITY SENATE

Jane Otto (Chair), Senator; Media & Music Metadata Librarian, Rutgers University Libraries
Robert M. Goodman, Senator; Executive Dean of Agriculture and Natural Resources; Executive Dean, SEBS; Executive Director, New Jersey Agricultural Experiment Station
Victor Greenhut, Senator; Professor, Materials Science and Engineering
Jerome J. Kukor, Senator; Professor and Dean, Graduate School – New Brunswick
Laura Bowering Mullen, Chair, RUL Committee on Scholarly Communication; Behavioral Sciences Librarian; OA Specialist
John Ottomanelli, Senator; Ph.D. candidate; alumnus representative to the Senate

RESOURCES

SOAR (Scholarly Open Access at Rutgers)	soar.rutgers.edu
Open Access Overview	earlham.edu/~peters/fos/overview.htm
Open Access by Peter Suber (MIT Press)	mitpress.mit.edu/books/open-access
Budapest Open Access Initiative	budapestopenaccessinitiative.org
SPARC on Open Access	arl.org/sparc/openaccess/index.shtml
Effect of open access on citation impact: a bibliography	opcit.eprints.org/oacitation-biblio.html
RUcore, the Rutgers institutional repository	rucore.libraries.rutgers.edu
RUresearch data portal in RUcore	rucore.libraries.rutgers.edu/research

For the full Senate Open Access Subcommittee report, including draft policy and resolution, go to
senate.rutgers.edu/RGPEConS1103onOpenAccessOctober2012.pdf
or go to the Rutgers Open Access Policy webpage
www.libraries.rutgers.edu/researchers/open_access

Questions or comments may be directed to
Jane Otto (jjotto@rutgers.edu) or Laura Bowering Mullen (lbmullen@rci.rutgers.edu)

Dear [dean]:

As you may know, the Rutgers University Senate passed an Open Access policy resolution by a near unanimous vote in October, 2012; the policy goes into effect university-wide September 1, 2015 (<http://policies.rutgers.edu/50317-currentpdf>). The Open Access policy requires that Rutgers authors deposit legal copies of scholarly articles into the Rutgers institutional repository at the time of each article's final acceptance for publication. The policy applies to faculty, doctoral students, and postdocs.

We have been working to make article deposit simple and easy for authors. Work has been ongoing to develop an innovative portal (SOAR, Scholarly Open Access at Rutgers, <http://soar.rutgers.edu>) to facilitate implementation of the OA policy. Participation is at no cost to the authors, and makes scholarship freely accessible to readers and researchers worldwide on the Internet via Google. If needed, an opt-out is available for any given article.

Open Access through SOAR benefits your school by providing more visibility for faculty and grad student scholarship; it increases exposure of scholarly output within and across Rutgers academic units, not only to fellow researchers and the public, but to prospective students, faculty, and donors. SOAR can also facilitate departmental reporting.

Over the next few months, we are eager to ensure that all faculty and graduate students have the information they need about open access and the policy. If you would like us to speak at any meeting of chairs or faculty, please contact us; we would be happy to come and talk about any aspect of the policy, as well as present some possible options for implementation in your unit. Please visit the SOAR website for more information, including handouts and contact information: <http://soar.rutgers.edu>.

We look forward to hearing from you.

Best,

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Andrew Norris, Distinguished Professor, Mechanical and Aerospace Engineering

John Ottomanelli, Alumnus representative, University Senate