**Introduction**

A year of planning for the integration of medical libraries was followed by a new period of intensive negotiations with vendors over licenses and financial terms. The subscriptions of the former UMDNJ libraries were integrated into the Rutgers Libraries. A team composed on Gracemary Smulewitz, Elizabeth Sosnowska and Thomas Izbicki, greatly aided by the staff of Distributed Technical Services, managed renewals and provision of access with the vendors. Most often, the vendors were reasonable in adjusting prices and providing access for the entire Rutgers community. Although considerable savings were achieved, the integration still added costs of about $750,000 to a State budget already insufficient to cover all subscription expenses. The inflation rate for journals remained modest (usually ca. 4 or 5%), but negotiations for best subscription costs remained important. Economies were achieved thus partially ameliorating the impact of inflation atop the increased base of subscription costs based on the integration and past inflation.

The Approval Plan with Ingram remains difficult to support, even with savings realized by keeping profiles narrowly focused. The addition of e-books continued on a selective basis, including via the PALCI Demand Driven Acquisitions pilot project. Discussions with our partners in the NERL consortium toward renewal of arrangements with Elsevier and Wiley continued, but Rutgers had to make its own arrangements to secure best terms. The Rutgers Libraries joined the Committee on Institutional Cooperation [CIC], which includes membership in the Hathi Trust and shared investment in the acquisition of resources. Investments in Humanities databases and science online resources were made via the CIC. The membership in Hathi will allow RUL to consider new strategies in the area of Preservation. Also, most monographic standing orders having been removed from the State budget, those series retained by Selectors became added costs for an already exiguous Non State budget.

1. **Collection Development and Management**

   - **Policies:**

     A revised statement on Reserves was approved by the Library Resources Council. It permits adding e-books with multi-user licenses for Reserves purposes even when the Libraries own print copies in cases where classes with larger enrolments need support.

     The policy on Digitization Projects, approved by Cabinet, was implemented.

     Procedures for adding new Open Access e-journals are still under discussion by Cabinet. The DOIs needed for the existing articles were funded from Central.
Open access textbooks also are under discussion, including the possibility of doing a pilot project with a member of the Rutgers faculty.

- **Selector Assignments and Training:**

Retirements and departures of librarians resulted in new job descriptions for certain lines, and new faculty were hired into those lines. New hiring, in turn, required training of new Selectors in Collection Development policies and procedures. Training was done for 5 new colleagues: Jonathan Sauceda, Julie Moscinski, Leslin Charles, Julie, Francesca Giannetti and Adriana Cuervo. Other training of Selectors is planned for late summer and early fall.

Training was held at New Brunswick for Elsevier’s Mendeley and Thompson-Reuters’ CONVERIS and SCOPUS.

- **Vendor Relations:**

As noted above, vendor relations issues arose in two contexts: inflation and the integration of the UMDNJ Libraries into RUL. The negotiations about inflation yielded some results, and the vendors proved remarkably flexible in integrating UMD subscriptions for journals and databases into RUL. Only a few requested additional payments. Early renewals also were accomplished within the time available. Wherever possible, the interests of partner libraries in VALE were advanced in our vendor negotiations.

- **Major Serials Packages:**

This past year the concentration was on integrating UMD and Rutgers electronic resources, completing work begun in FY 2013. The majority of negotiations for this purpose were successful. The Elsevier and Wiley contracts were concluded during this fiscal year. The Elsevier contract secured access to the SCOPUS database for RUL.

RUL will participate in the SCOAP3 high-energy physics open access initiative.

- **Serials Vendors:**

We remain with the same vendor configuration as in past years. EBSCO and Swets were very helpful in transitioning the UMD subscriptions to RUL, and we continually work with them to correct any problems encountered with publishers billing. Single UMD subscriptions are largely moved to either of these vendors. The intent is to keep all publications from each publisher with the same vendor for ease of management.

- **Monographic Standing Orders:**

All SOs not part of memberships in Non-Governmental Organizations were removed from the State budget for fiscal reasons. (RUL had been spending about $100,000 per year on these orders, much of it impossible to cover with encumbrances.) Many were cancelled by Selector, but others were moved to Non State funds as continuing orders or
with an arrangement to Notify Selector. The area most impacted by this decision was Music, the titles in which remain under review. Occasional problems with series not adequately funded by Selectors were presented to Central for funding, and new rules are being developed to improve budgeting for any series retained on standing order.

- **Monograph Vendors:**

Ingram remained the Approval Plan and primary monographic vendor for the Libraries. For reasons of fiscal prudence, the Approval Plan is limited by using consistent non-subject parameters for most profiles and a publisher list limiting purchases from certain expensive commercial presses (Ashgate, Palgrave Macmillan and Routledge). Several other presses, especially commercial presses, are covered on slips only. The plan still yielded 5,379 copies costing $234,924.21. The average price per copy was $43.70. Most of these copies were in the Humanities or the Social Sciences. The financial support for this plan is expected to run out in FY 2015.

The Camden Collections Coordinator (Julie Still) and the Interim AUL reviewed and revised the Camden approval plan with Ingram.

The Art History approval plan with World Wide was retained, but that from Shamansky was cancelled for reasons of consolidation. The medical librarians were enabled to order appropriate titles from Matthews, but they will receive additional orientation to the Ingram Oasis platform.

- **Ordering:**

Because of the Libraries’ deficit in the State Collections budget, ordering of monographs was suspended in the late third quarter and resumed later only with selected funds. Most of those were endowed funds. The remaining Non State monographic funds contributed $229,492 toward reducing the deficit.

Orders for Media rose as a portion of Reserves costs, requiring review of policy and procedures. However, an experimental use of Swank Video for access to films will be tried in FY 2015.

Reserves orders involving duplication of print volumes are reviewed to restrain unnecessary expenditures.

Money was assigned from Central to support GLBT studies in New Brunswick and Newark.

A shared e-book Demand Driven Acquisition pilot was done as a partner in PALCI, and the lessons learned are being explored. The pilot was funded by Central, and the prices of the volumes acquired from EBSCO were very modest. Further participation in this project is under discussion.
• **Gifts in Kind:**
  The backlog of uncatalogued items at TAS is at an all-time low.
  The backlog of duplicate gifts in level BB of the Alexander Library has been reduced through targeted book sales, mostly from the Goffen bequest, at the Art Library.

• **Collection Management:**
  o Weeding of the Reference Collections at LSM is still in progress.
  o Transfer of new Cinema Studies books to Douglass was completed.
  o Measures for closing the Chemistry Library were implemented, the unique items being remediated for mold. 501 items were reported missing from the Chemistry Library. 4910 were withdrawn. Remediation of mold-affected items is reported under Preservation.
  o Lessons learned in closing the Chemistry Library could be applied if the Physics Library, which has required further mold remediation this past year, ever is closed.
  o Policies governing consolidation of print back files of journals are under discussion.

• **Fundraising for collections:**
  o Negotiations with the Moevs family for digitization of the Robert Moevs collection came to fruition in the summer of 2014. The check for the project was received in July.
  o The Loeb grant to Classics for digitization of the Badian collection of Roman coins was renewed.
  o The Kazanjian gift fund was assigned for selection use.
  o Income from the Seidel bequest will be used to support Special Collections.

• **New Acquisitions:**

  **CIC Shared Acquisitions purchases:**

  **Arts & Humanities:**
  Adam Mathew databases: *Defining Gender, Global Commodities & India, Raj and Empire.*

  **Sciences:**
  *Nature* back files.

  Springer e-Books.

  **Other:**

  **Arts & Humanities:**
  *Oxford Bibliographies Online:* Renaissance Studies.
Social Sciences and Psychology:

The online version of Mental Measurements Yearbook was added during the integration.

Sciences:

SCOPUS & 700 additional journal titles included in the Freedom Collection were added via Elsevier contract.

The Journal of Visual Experiments (JOVE) was brought over from the former UMD with additional modules subscribed by request of science researchers.

2. Collections Budget:

- **Budget Allocations:**
  The initial State allocation from all sources was $9,261,541.25. Additional UMD money was funds totaled $1012026.75. The State resource total was $10273568.
  The Non State allocation from all sources was $1,256,732.55, a decreased from last year’s $1,256,732.55. This total includes all Humanities (H) and Phonation (P) funds, as well as money from the Van Wagner quasi endowment supporting the Approval Plan.

- **Expenditures:**
  Total expenditures paid from the State funds, including UMD, were $11,253,060, a deficit of ($979,492).
  Expenditures from Non State, including one-time funds, transfers from academic departments and book fines were $1,020,621.63.
  **Total expenditures** from all sources were: $12,273,681.96.

Expenditures, Online vs. Analog:

- Expenditures for Online Resources: ca. 81% of the total expenditures or $10,589,161.97. Comment: These figures are for all RUOnline codes, including one-time funds.
- Expenditures for Print and Other Analog Resources: 19% of the total expenditures or $2,140,673.69.

Expenditures by System-wide Support and Campus

- Total system-wide support expenditures: $10,826,910.19, of which $10,589,161.97 was spent on RUOnline and $237,748.22 on collection support and central purchases (including interlibrary loan, Reserves, shipping and service charges) from Central.
- Total New Brunswick expenditures paid: $1,148,929.42.

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1 Report excludes CIC Shared Purchases paid from University Librarian’s central reserves.
- Total Newark expenditures paid (including the Institute for Jazz Studies): $159,773.05.
- Total Camden Expenditures paid: $87,321.07.
- Special Collections expenditures paid: $45,507.25.

Non State Expenditures by broad discipline categories:
- 31% of total expenditures were for Science, Technology and Medicine
- 6% of total expenditures were for the Social Sciences
- 35% of total expenditures were for General/ Interdisciplinary (including the Ingram Approval Plan that supports the Social Sciences and Arts and Humanities book purchases)
- 28% of total expenditures were for the Arts and Humanities

State Expenditures by broad subject categories:
- 75% of total expenditures were for Science, Technology and Medicine
- 13.5% of total expenditures were for the Social Sciences
- 6.5% of total expenditures were for General/ Interdisciplinary
- 5% of total expenditures were for the Arts and Humanities

Expenditures by types of materials:
- $2,140,673.69 was spent on books and all other one-time purchases (including purchases by individual orders, monographic series and the Ingram approval plan $234,924.21). This total includes expenditures for e-books. Book purchases alone totaled 13,313 copies costing $1,107,427, approximately $83.20 per copy. This was the lowest number of copies and lowest expenditure on books in a decade.

- $10,589,161.97 was spent on subscriptions, including e-journals whether acquired via packages or individually.
- Binding expenditures: Most binding of serials ceased in FY 2013.
- Microforms are not tracked separately.

3. Preservation:

During past year, Preservation continued addressing issues of mold and damaged books. There also was the usual small staff with no assigned budget for materials. There remains a shortage of space for housing the arriving damaged, brittle and moldy books and journals received. The policy remains:

- If the item has been used and returned damaged due to circulation, the item has priority to be repaired and sent back to the stacks.
- Likewise, if the item was unique to RUL Collection and being used.
- Also, if the item had been recalled.
- Or the item is not available full-text online.
- When the damaged item is not the “only copy” and needs too many hours staff time to repair it and other copies are in good shape and available in the stacks, the item is withdrawn.
Staff: One full-time staff now retired. One staff member shared with Access Services, and one part time employee. 4 student assistants.

Mold remediation:

Following items from various libraries were sent out for mold remediation:
- 6484 vols. of Chemistry library. The cost of these items paid by the Libraries administration.
- 59 items from other libraries.

In-house treatments:

Total of 1,345 books were repaired, re-backed, re-cased, boxed or clean, plus 9 items which needed the cutting of all pages. This includes: 33 recalled items.

Supply purchases:

Preservation spent $4,190.89 on supplies out of gift funds.

4. Digital Exhibits and Digitization Projects:

- Coordination of physical and digital exhibits has become a regular process, the work being treated as cooperate with large-scale Digitization Projects approved under the policy adopted by Cabinet.
- The Civil War exhibit is now available in digital form.
- The Music exhibit for fall 2013 reopened the issue of digitizing the tapes and cassettes in the Moevs Collection, and the Moevs family is supporting the project.
- Rights issues for digitization projects are being addressed as part of our ongoing digitization efforts.
- Joining Hathi Trust via CIC will revitalize our Digital Preservation program once fully integrated into our workflows.
- Caryn Radick, Digital Archivist, will coordinate a review of digitization priorities in Special Collections.
- Finding aids for Special Collections and the Institute of Jazz Studies are being created using EAD. Caryn Radick has worked on EAD finding aids and advised members of IJS staff in their encoding work. These finding aids will be ingested into RUCore.

5. Scholarly Communications:

- The Committee on Scholarly Communications worked with the Senate Committee on Research and Graduate Education on implementation of the Open Access policy which was approved by the Senate and President Barchi. Jane Otto and Laura Mullen continue their leadership involvement in this movement.
- The Scholarly Communications website was entirely reconstituted and expanded. New issues were addressed, and other issues were updated by the members of the committee.
• The committee attended a demonstration of the CONVERIS Research Information System offered by Thompson Reuters.

6. Personnel
• Caryn Radick had a successful third year review, being reappointed as Digital Archivist.
• Tom Frusciano had his five-year post-tenure review.
• The interim period in Special Collections / University Archives following the departure of Bonita Grant will be addressed in Ron Becker’s report. Christine Lutz was hired as Jerseyana Librarian and Public Services Librarian in SC/UA, beginning August 1, 2014.
• Farideh Tehrani and Ernestine Young both retired from Preservation.

7. Future Issues

Collection Development and Budget:
• The largest issue confronting Collection Development is money. The traditional State budget remains inadequate to cover our subscriptions at the continuing level of inflation. If no increase in support is provided, about $680,000 will need to be eliminated from the State budget to avoid an ever-increasing deficit.
• The integration of UMD library resources was successful, but an estimated $750,000 in permanent money needs to be added to support these resources.
• Also, the ability to sustain monographic acquisitions, whether print or digital, is even more in doubt. The Van Wagner endowment will run out in this fiscal year. Endowed income remains too low, and Phonathon revenue continues in free fall. The Humanities and Social Sciences will be hard hit by this loss of financial resources.
• The ability to meet new needs arising from faculty recruitment and changes in academic program is negligible. Even trade-offs for new titles are hard to attain within particular disciplines.
• Continuing development of partnerships with Rutgers academic units to support acquisition of highly desired resources remains useful.
• Development of partnerships with other regional research libraries in the areas of collecting cooperation, shared resources and offsite facilities is essential for the future. PALCI is an important regional partner, and VALE should be a more important partner in this effort. Collecting cooperation with Princeton can be developed anew in the area of Jazz.
• RUL needs to prepare to use VALID and OLE in the future.
• RUL needs to reconsider the value of its national-level consortial arrangements. Does NERL meet our needs? Does Lyrasis? How can membership in CIC best be used to support our work?
• How can we best leverage borrowing arrangements with PALCI & CIC, as well as traditional interlibrary loan, into a more integrated Collections Management strategy?
• Our arrangement with Ingram, or approval vendor, and our serials agents (EBSCO, Swets and Harrassowitz) must undergo continuing review.

Collection Management:
The New Brunswick Theological Seminary is removing their holdings from the Annex. How will that and the transfer of Records materials to the Records Management facility affect our ability to retain archival copies of less-used materials?

- How can we best coordinate weeding and consolidation of collections? What duplication do we really need for users?
- How will CIC and PALCI initiatives affect our collection management strategies?
- The closing of the Chemistry Library taught us lessons about process that might be applied to the Physics Library. We might also reevaluate Chang’s collections.

**Scholarly Communications:**
- Issues of hybrid or “gold route” Open Access remain under discussion and these models now emerging must be considered as we devise future measures promoting OA.
- A policy about support by the University of sites like ArXiv, that provide open access preprints, and sites like BioMed Central and Public Library of Science, that give faculty of participating institutions discounts on submitted articles, remains on the agenda for the future.
- So too does implementation of the University’s OA policy.
- The policy on starting new Open Access e-journals needs to be adopted, and the libraries need to partner in creating OA e-textbooks.

**Media:**
- Demand for streaming Media for Reserves and instruction continues to burgeon, and licenses to stream media are costly. How will we meet that demand in a time of tightening financial resources? How will we handle these demands within a changing rights environment?


The Interim AUL represents the Rutgers Libraries on the Collection Development group of the CIC and the Chiefs of Collection Development of Large Research Libraries group in ALA. He now is participating in the Chiefs of Preservation group of CIC following the retirement of Farideh Tehrani.

The Interim AUL is working with the Communications Director (Harry Glazer) on a brochure for retiring faculty.
The Interim AUL is the Renaissance Studies contributor to Resources for College Libraries. He also reviews for *Reference Reviews Europe* and *Choice*.

The Interim AUL continues serving on the Institutional Review Board for Human Subjects of Rutgers University.

Also, the interim AUL serves as a member of the editorial board of Europa Sacra, a Brepols series of monographs.

He also was involved in the Badian Collection of Roman Republican Coins, including playing a role in the digitization project and advising on the integration of newly acquired coins into the sequence of Badian numbers.

The Interim AUL also is treasurer of two academic societies, the American Cusanus Society and the Delaware Valley Medieval Association.

**Publications:**

*Roman Law*, Oxford Bibliographies Online, Medieval Studies
